



Supplementary Information from CNSC Staff

Renseignements supplémentaires du personnel de la CCSN

Review of the Regulatory Oversight Report Process

Examen du processus du Rapport de surveillance réglementaire

**Correction of errors identified in
Appendix A of the Commission
Member Document CMD 22-M5
presented on January 27, 2022**

**Correction des erreurs identifiées dans
l'annexe A du Document à l'intention
des commissaires CMD 22-M5, présenté
le 27 janvier 2022**

Commission Meeting

Réunion de la Commission

January 27, 2022

Le 27 janvier 2022



To
A

Denis Saumure
Commission Registrar

c.c.
Ramzi Jammal
Executive Vice-President and
Chief Regulatory Operations Officer

From
De

Dr. Caroline Ducros
Director General
Directorate of Advanced Reactor
Technologies

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Subject
Objet

ERRATA - CMD 22-M5: Results of CNSC Staff Review of the Regulatory Oversight Report Process

The purpose of this memo is to record the correction of the errors identified in Appendix A of the Commission Member Document (CMD) package: [CMD 22-M5: Results of CNSC Staff Review of The Regulatory Oversight Report Process](#), (page 30) submitted for the 27 January 2022 Commission meeting.

The safety performance rating definitions of “Below Expectations” were mistakenly copied and used as the definitions for “Unacceptable”. The wording in the document should include both the previous definition of Unacceptable and the updated definition, as follows:

Previous definition of Unacceptable rating was:

Safety and control measures implemented by the licensee are significantly ineffective. In addition, compliance with regulatory requirements is unacceptable and is seriously compromised. Compliance within the Safety and Control Area (SCA) is significantly below requirements or Canadian Nuclear Safety Commission (CNSC) expectations, or there is evidence of overall non-compliance. Without corrective action, there is a high probability that the deficiencies will lead to unreasonable risk. Issues are not being addressed effectively, no appropriate corrective measures have been taken and no alternative plan of action has been provided. Immediate action is required.”

Updated definition of Unacceptable rating is:

One or both of the following criteria apply:

- Risk associated with a non-compliance or performance issue is unreasonable.
- At least one significant non-compliance or performance issue exists with no associated corrective action.

The following tables outline all safety performance categories and definitions approved by CNSC Management; CNSC staff plans to publish them in [REGDOC-3.6, Glossary of CNSC Terminology](#) in April 2022.

Fully Satisfactory (FS)	
Previous Definition	Amended Definition
Safety and control measures implemented by the licensee are highly effective. In addition, compliance with regulatory requirements is fully satisfactory, and compliance within the safety and control area (SCA) or specific area exceeds requirements and CNSC expectations. Overall, compliance is stable or improving, and any problems or issues that arise are promptly addressed.	N/A – Rating removed
Satisfactory	
Previous Definition	Amended Definition
Safety and control measures implemented by the licensee are sufficiently effective. In addition, compliance with regulatory requirements is satisfactory. Compliance within the SCA meets requirements and CNSC expectations. Any deviation is minor and any issues are considered to pose a low risk to the achievement of regulatory objectives and CNSC expectations. Appropriate improvements are planned.	<p>Licensee meets all of the following criteria:</p> <ul style="list-style-type: none"> • Performance meets CNSC staff expectations • Licensee non-compliances or performance issues, if any, are not risk-significant • Any non-compliances or performance issues have been, or are being, adequately corrected
Below Expectations (BE)	
Previous Definition	Amended Definition
Safety and control measures implemented by the licensee are marginally ineffective. In addition, compliance with regulatory requirements falls below expectations. Compliance within the SCA deviates from requirements or CNSC expectations to the extent that there is a moderate risk of ultimate failure to comply. Improvements are required to address identified weaknesses. The licensee is taking appropriate corrective action.	<p>One or more of the following criteria apply:</p> <ul style="list-style-type: none"> • Performance does not meet CNSC staff expectations • Licensee has risk-significant non-compliance(s) or performance issue(s) • Non-compliances or performance issues are not being adequately corrected
Unacceptable Expectations (UA)	
Previous Definition	Amended Definition
Safety and control measures implemented by the licensee are significantly ineffective. In addition, compliance with regulatory requirements is unacceptable and is seriously compromised. Compliance within the SCA is significantly below requirements or CNSC expectations, or there is evidence of overall non-compliance. Without corrective action, there is a high probability that the deficiencies will lead to unreasonable risk. Issues are not being addressed effectively, no appropriate corrective measures have been taken and no alternative plan of action has been provided. Immediate action is required.	<p>One or more of the following criteria apply:</p> <ul style="list-style-type: none"> • Risk associated with a non-compliance or performance issue is unreasonable • At least one significant non-compliance or performance issue exists with no associated corrective action